



**Substitute for form 1449A/PTO**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

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**State if Known**

Application Number	10/616,276
Filing Date	July 9, 2003
First Name Inventor	Hoffmann et al.
Group Art Unit	1625
Examiner Name	Robinson, B.M.

Attorney Docket No. 20693 US2

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>2</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
B							

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials'	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
<i>KJML</i>	<b>C10</b>	HARRISON et al., J. Med. Chem., 44: 4296-4299 (2001)	
	<b>C11</b>	LADABAUM et al., Dig. Dis., 17: 125-132 (1999)	
	<b>C12</b>	MASSI et al., Peptides, 21: 1597-1609 (2000)	
	<b>C13</b>	VASSOUT et al., Regulatory Peptides, 96: 7-16 (2000)	
	<b>C14</b>	RUPNIAK et al., Neuropharmacology, 39: 1413-1421 (2000)	
	<b>C15</b>	RENZI et al., Am. J. of Path., 157: 1511-1522 (2000)	
	<b>C16</b>	MORIARITY et al., British Journal of Pharmacology, 133: 1346-1354 (2001)	
<i>KJML</i>	<b>C17</b>	PHEBUS et al., Life Sciences, 60(18): 1553-1561 (1997)	

Examiner Signature		Date Considered 9/16/07
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>With next communication to applicant.  
<sup>2</sup>Unique citation designation number.  
<sup>3</sup>See attached Kinds of U.S. Patent Documents.  
<sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).  
<sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  
<sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.  
<sup>7</sup>Applicant is to place a check mark here if English language Translation or abstract is attached.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s) Hoffmann, et al.

Group: 1625

Serial No. 10/616,276, filed July 9, 2003  
(Ref. No. 20693 US2)

Examiner: Robinson, B.M.

For: NK-1 RECEPTOR ACTIVE AMINE OXIDE PRODRUGS

**INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110

Date: August 18, 2004

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

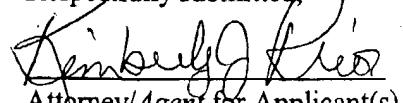
Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents C10 to C17 are listed on Form PTO-1449 and enclosed. Consideration of all the documents cited on Form PTO-1449 is requested.

Since the Information Disclosure Statement is submitted after the first Office Action on the merits and after three months of the filing date for the captioned application, there is enclosed a Certificate under 37 C.F.R. § 1.97(e).

Respectfully submitted,

  
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventors Hoffmann et al.

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STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110  
Date: August 18, 2004

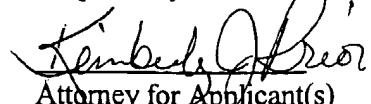
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Sir:

I hereby certify:

- That each item of information contained in the enclosed information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this information disclosure statement.
- That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,

  
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